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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re the Reissue Application of: THOMAS J. SHAW

For Reissue of U.S. Patent 5,810,775

Issued September 22, 1998

Serial No. 08/862,849

Filing Date: September 19, 2000

Serial No.:

09/665,634

For

CAP OPERATED RETRACTABLE

MEDICAL DEVICE

Group Art Unit: 3763

Examiner: Rodriguez

CERTIFICATE OF FIRST CLASS MAILING

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Kristen R. Paris, Registration No. 52,092 **Attorney for Applicant**

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §1.56, Applicant submits this Second Supplemental Information Disclosure Statement to provide copies of references cited in a prior art search issued June 22, 2004 in a corresponding Japanese patent application. This submittal is made in accordance with 37 C.F.R. §§1.97 and 1.98 and §609 of the Manual of Patent Examining Procedure. The patents, publications and

other information herein are listed on the attached Form PTO/SB/08B. Copies of the

listed references are submitted herewith.

Applicant hereby expressly reserves the right to swear behind the effective dates

of any of the above patents and other publications and to question the relevance and

materiality of the patents and publications listed herein, in whole, in part, or in

combination, subsequent to filing this second supplemental Information Disclosure

Statement.

It is believed no fee is due for submission of this paper. If this is incorrect, the

Commissioner is hereby authorized to charge any fee due to Locke Liddell & Sapp LLP

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Respectfully submitted,

Kristen R. Paris

Registration No. 52,092

Date: August 13, 2004

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PTO/SB/08A (08-03)

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Sheet 1

ÍNFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Application Number 09/665,634

Filing Date September 19, 2000

First Named Inventor Thomas J. Shaw

Art Unit 3763

Examiner Name Rodriguez

Attorney Docket Number 75329/74019

				F DOCUMENTS	
Examiner Initials*	Cite No.1		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,120,311	06-09-1992	Sagstetter et al.	
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		FORE	IGN PATENT DOCL	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		H02-502076 A	07-12-1990	Habley Medical		
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Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney: KRP/cab

Client/Matter No. 75329 / 74019 Reissue of Patent No.: 5,810,775

Title: CAP OPERATED RETRACTABLE MEDICAL DEVICE

Applicants: Shaw et al.

Today's Date: 8/13/04

Enclosed for filing in the United States Patent and Trademark Office via first class mail are the following:

- 1. Second Supplemental Information Disclosure Statement;
- 2. Information Disclosure Statement By Applicant
- 3. Four (4) separate copies of patents; and
- 4. Postcard acknowledgement.